



CASE LD0275A CIP

**CERTIFICATE OF MAILING**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Maureen S. Gibbons  
Type or print name

  
Signature

November 21, 2003  
Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF SALVATI ET AL.

APPLICATION NO: 10/672,850

FILED: SEPTEMBER 26, 2003

FOR: PYRROLOPYRIDAZINE COMPOUNDS AND METHODS OF USE THEREOF  
FOR THE TREATMENT OF PROLIFERATIVE DISORDERS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**LETTER REQUESTING CORRECTED NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

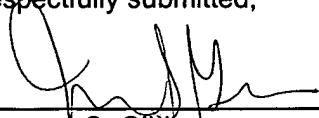
The Notice of Recordation received in the above-identified application erroneously lists the spelling of the first inventor's last name. Please issue a corrected Notice of Recordation listing the inventor's name as follows:

**Mark E. Salvati**

A copy of the Notice of Recordation with the corrections noted is enclosed. Also enclosed is a copy of the Assignment.

Applicants believe this error is ascribable to the Patent Office. Accordingly, no fees are required. However, if this is incorrect, the Commissioner is hereby authorized to charge the \$25 fee under 37 CFR §1.19(h) and any additional fees that may be required to Deposit Account No. 19-3880 in the name of Bristol-Myers Squibb Company.

Respectfully submitted,

  
Maureen S. Gibbons  
Attorney for Applicants  
Reg. No. 44,121

Bristol-Myers Squibb Company  
Patent Department  
P.O. Box 4000  
Princeton, NJ 08543-4000  
(609) 252-3453

Date: November 21, 2003

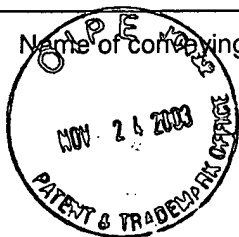
OMB No. 0651-0011 (exp. 4/94)

PATENTS ONLY

LD0275A (CIP)

To the Honorable Director of the US Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):



2. Name and address of receiving party(ies)

Name: Bristol-Myers Squibb Company

Internal Address: \_\_\_\_\_

Street Address: Lawrenceville-Princeton Road

City: Princeton State: NJ ZIP: 08543-4000

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☐ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☒ Other Please correct the spelling of last name

of first inventor: Should be Salvati (PTO error).

Copy of erroneous Recordation is attached, as  
well as original Assignment.

Execution Date: October 30, 2003

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

10/672,850

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen B. Davis

Internal Address: Bristol-Myers Squibb Company

Patent Department

Street Address: P.O. Box 4000

City: Princeton State: NJ ZIP: 08543-4000

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ \_\_\_\_\_

☐ Enclosed **NO FEE - PTO ERROR**

8. Deposit account number: \_\_\_\_\_

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

Maureen S. Gibbons

Name of Person Signing

Reg. No. 44,121

Maureen S. Gibbons  
Signature

November 21, 2003

Date

☐ Certificate of mailing on reverse side

Total number of pages including cover sheet, attachments, and document: 11

L00275a - U.S -  
CIP 1

## Facsimile Transmission

*This company uses RightFAX® fax  
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From: Name: USPTO ASSIGNMENT DIVISION  
Fax Number:  
Voice Phone: 703-308-9723

To: Name: BRISTOL-MYERS SQUIBB COMPANY  
Company: STEPHEN B. DAVIS  
Fax Number: 16092524526  
Voice Phone:

## Fax Notes:

Pg#	Description
1	Cover Page
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4	Document 1, Batch 269257

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Attorney GIBBONS

Date and time of transmission: Tuesday, November 18, 2003 5:57:18 PM  
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UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
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Washington, D.C. 20231

NOVEMBER 18, 2003

PTAS

BRISTOL-MYERS SQUIBB COMPANY  
STEPHEN B. DAVIS  
PATENT DEPARTMENT  
P.O. BOX 4000  
PRINCETON, NJ 08543-4000



\*700052694\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/14/2003

REEL/FRAME: 014133/0892  
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

SALVATL, MARK E. ✓

DOC DATE: 10/30/2003

ASSIGNOR:

ILLIG, CARL R. ✓

DOC DATE: 11/10/2003

ASSIGNOR:

WILSON, KENNETH JEROME ✓

DOC DATE: 11/10/2003

ASSIGNOR:

CHEN, JINSHENG ✓

DOC DATE: 11/10/2003

ASSIGNOR:

MEEGALLA, SANATH K. ✓

DOC DATE: 11/10/2003

ASSIGNOR:

WALL, MARK JAMES ✓

DOC DATE: 11/10/2003

ASSIGNEE:

BRISTOL-MYERS SQUIBB COMPANY  
LAWRENCEVILLE-PRINCETON ROAD  
PRINCETON, NEW JERSEY 08543-4000

014133/0892 PAGE 2

SERIAL NUMBER: 10672850  
PATENT NUMBER:

FILING DATE:  
ISSUE DATE:

TARA WASHINGTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

NOV. 14. 2003 10:27AM

609 252 4526

NO. 942 P.2

FORM PTO-1585

(Rev. 6-83)

## RECORDATION FORM COVER SHEET

700052694  
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

OMB No. 0851-0011 (exp. 4/04)

LD0275A (CIP)

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

1. Mark E. Salvati
2. Carl R. Illig
3. Kenneth Jerome Wilson
4. Jinsheng Chen
5. Sanath K. Meegalla
6. Mark James Wall

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other \_\_\_\_\_

Execution Date: 1) Oct. 30, 2003; 2-6) Nov. 10, 2003

## 2. Name and address of receiving party(ies)

Name: Bristol-Myers Squibb Company

Internal Address: \_\_\_\_\_

Street Address: Lawrenceville-Princeton RoadCity: Princeton State: NJ ZIP: 08543-4000Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

10/872,850

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Stephen B. DavisInternal Address: Bristol-Myers Squibb CompanyPatent DepartmentStreet Address: P.O. Box 4000City: Princeton State: NJ ZIP: 08543-40006. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$ 40☐ Enclosed☒ Authorized to be charged to deposit account and any other additional fees required.

## 8. Deposit account number:

19-3880 (in the name of Bristol-Myers Squibb Company)

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Maureen S. Gibbons

Name of Person Signing

Reg. No. 44,121

  
SignatureNovember 14, 2003

Date

☐ Certificate of mailing on reverse sideTotal number of pages including cover sheet, attachments, and document 6Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, MAIL STOP: Assignment  
Commissioner for Patents, P.O. Box 1430  
Alexandria, VA 22313-1430

ASSIGNMENT

We,

Mark E. Salvati	residing at	9 Tracey Drive Lawrenceville, New Jersey 08648 United States of America
Carl R. Illig	residing at	25 Jonathan Drive Phoenixville, Pennsylvania 19460 United States of America
Kenneth Jerome Wilson	residing at	263 Woodcrest Road West Grove, Pennsylvania 19390 United States of America
Jinsheng Chen	residing at	11 Fairwind Lane Exton, Pennsylvania 19341 United States of America
Sanath K. Meegalla	residing at	3822 Highland Drive Boothwyn, Pennsylvania 19061 United States of America
Mark James Wall	residing at	221 Green Bank Way Harleysville, Pennsylvania 19438 United States of America,

pursuant to contractual obligations heretofore assumed by us and/or for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, do hereby sell and assign to **Bristol-Myers Squibb Company**, a Delaware corporation, having a place of business at Lawrenceville-Princeton Road, Princeton, NJ 08543-4000, its successors, assigns and legal representatives, all our right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to us by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention entitled:

**PYRROLOPYRIDAZINE COMPOUNDS AND METHODS OF USE THEREOF FOR THE  
TREATMENT OF PROLIFERATIVE DISORDERS**

invented by us and described in the non-provisional United States patent application

Application No. 10/672,850, filed September 26, 2003,

including said non-provisional United States patent application and any application claiming priority from said non-provisional application, filed in any country, and any patents which may be issued and/or granted thereon, and all divisions, continuations, reissues, reexamination certificates and extensions thereof in all countries, the said interest being the entire ownership of said invention and all of said applications, patents (including reissue patents), extensions and reexamination certificates to be held and enjoyed by the said Bristol-Myers Squibb Company and its successors and assigns to the full end of the terms to which said patents (including reissue patents), extensions and reexamination certificates may be granted and/or issued, as fully and entirely as the same would have been held and enjoyed by us if this sale, assignment and transfer had not been made;

And we hereby agree to communicate to said assignee or its representatives any facts known to us respecting said invention, to testify in any legal proceedings, to sign and/or execute any further documents and/or instruments which may be necessary, lawful and proper in and/or for the filing and/or prosecution of all applications, including divisional, continuation and reissue applications, extensions and reexamination certificates and/or the granting and/or issuance thereof and/or to otherwise secure title to said invention and all of said applications, patents (including reissue patents, extensions and reexamination certificates in said assignee, and in general to do everything possible to aid said assignee, its successors and assigns to obtain and enforce proper protection for said invention in all countries.

Signed this 30 day of October, 2003 by Mark E. Salvati  
Mark E. Salvati

STATE OF New Jersey,  
COUNTY OF Middlesex ) ss.

On the 30<sup>th</sup> day of October, 2003, before me came Mark E. Salvati, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

[SEAL]

DEBRA A. WOJCIK  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires May 30, 2008

Debra A. Wojcik  
Notary Public

DEBRA A. WOJCIK  
NOTARY PUBLIC OF NEW JERSEY  
My Commission Expires May 30, 2008



Signed this 10th day of November, 2003 by Carl R. Illig  
Carl R. Illig

STATE OF PA )  
COUNTY OF Chester ) ss.

On the 10th day of November, 2003, before me came Carl R. Illig, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

Geraldine J. Cooney  
Notary Public

[SEAL]

NOTARIAL SEAL  
GERALDINE F. COONEY, Notary Public  
Uwchlan Twp., Chester County  
My Commission Expires June 7, 2004

Signed this 10 day of November, 2003 by Kenneth Jerome Wilson  
Kenneth Jerome Wilson

STATE OF PA )  
COUNTY OF Chester ) ss.

On the 10th day of November, 2003, before me came Kenneth Jerome Wilson, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

Geraldine J. Cooney  
Notary Public

[SEAL]

NOTARIAL SEAL  
GERALDINE F. COONEY, Notary Public  
Uwchlan Twp., Chester County  
My Commission Expires June 7, 2004

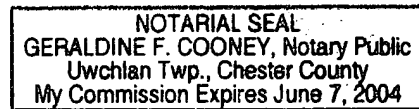
Signed this 10<sup>th</sup> day of November, 2003 by *Jinsheng Chen*  
Jinsheng Chen

STATE OF PA )  
 ) ss.  
COUNTY OF Chester )

On the 10<sup>th</sup> day of November, 2003, before me came Jinsheng Chen,  
to me known to be the person of that name mentioned in, and who executed the  
foregoing Assignment and acknowledged that he/she executed it.

*Geraldine J Cooney*  
Notary Public

[SEAL]



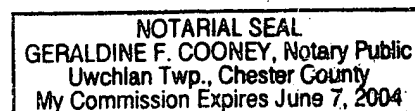
Signed this 10 day of November, 2003 by *Sanath K. Meegalla*  
Sanath K. Meegalla

STATE OF PA )  
 ) ss.  
COUNTY OF Chester )

On the 10<sup>th</sup> day of November, 2003, before me came Sanath K.  
Meegalla, to me known to be the person of that name mentioned in, and who  
executed the foregoing Assignment and acknowledged that he/she executed it.

*Geraldine J Cooney*  
Notary Public

[SEAL]



Signed this 10 day of November, 2003 by Mark James Wall  
Mark James Wall

STATE OF PA )  
 ) ss.  
COUNTY OF Chester )

On the 10<sup>th</sup> day of November, 2003, before me came Mark James Wall, to me known to be the person of that name mentioned in, and who executed the foregoing Assignment and acknowledged that he/she executed it.

Geraldine F Cooney  
Notary Public

[SEAL]

